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04-05-02

MP#

PTO/SB/21 (6-99)

Approved for use through 09/30/2000 OMB 0651-0031  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/998,801
		<b>Filing Date</b>	11/15/2001
		<b>First Named Inventor</b>	Kristian E. Johnsgard
		<b>Group/Art Unit</b>	Unassigned
		<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>	8	<b>Attorney Docket Number</b>	14912.832

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Version with Markings Showing Changes	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual name</b>	Michael J. Murphy, Reg. No. 37,404, WILSON SONSINI GOODRICH & ROSATI		
<b>Signature</b>			
<b>Date</b>	4/3/02	<b>Customer Number:</b>	021971

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date: 4-3-2002			
		Express Mail Label EL 757544352 US	
<b>Typed or printed name</b>	Linda Faye		
<b>Signature</b>		<b>Date</b>	4-3-2002

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PTO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Kristian E. Johnsgard et al.

Group No.: Unassigned

Serial No.: 09/998,801

Examiner: Unassigned

Filed: 11/15/2001

For: Apparatuses and Methods for Resistively Heating a Thermal Processing System

Commissioner for Patents  
Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 01/25/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130
5. Assignment (See "ASSIGNMENT COVER SHEET")	\$40
6. Total completion fees	\$170

## EXTENSION OF TIME

- III. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.  
Fee Due: \$0

## TOTAL FEE DUE

- IV. The total fee due is: Completion fees \$170 Extension of Time Fees \$ Corrected Filing Receipt Fees \$

TOTAL FEE DUE \$170

## PAYMENT OF FEES

- V. Charge Account No. 23-2415 (14912.832) in the amount of \$170.  
Please charge Account No. 23-2415 (14912.832) for any fees that may be due by this paper.

  
Michael J. Murphy, Reg. No. 37,404Customer No. 021971  
Wilson Sonsini Goodrich & Rosati  
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Palo Alto, CA 94304  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,801	11/15/2001	Kristian E. Johnsgard	14912.832

CONFIRMATION NO. 5951

## FORMALITIES LETTER



\*OC000000007365075\*

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/08/2002 SDENB081 00000023 232415 09998801

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